

To be filled by Banco Finantia | NUM

Please complete this form if you have confirmed that you have income from or business activity in a high-risk third country, a country under sanctions or a tax haven.

### Full name

Please tick the country(ies) or territory(ies) and answer the questions on the back of this form:

### List of countries subject to sanctions<sup>1</sup>

- |                                                 |                                                           |                                      |
|-------------------------------------------------|-----------------------------------------------------------|--------------------------------------|
| <input type="checkbox"/> Afghanistan            | <input type="checkbox"/> Lebanon                          | <input type="checkbox"/> Serbia      |
| <input type="checkbox"/> Belarus                | <input type="checkbox"/> Libya                            | <input type="checkbox"/> Syria       |
| <input type="checkbox"/> Bosnia and Herzegovina | <input type="checkbox"/> Mali                             | <input type="checkbox"/> Somalia     |
| <input type="checkbox"/> Burundi                | <input type="checkbox"/> Myanmar (Burma)                  | <input type="checkbox"/> Sudan       |
| <input type="checkbox"/> China                  | <input type="checkbox"/> Moldova                          | <input type="checkbox"/> South Sudan |
| <input type="checkbox"/> Guatemala              | <input type="checkbox"/> Montenegro                       | <input type="checkbox"/> Tunisia     |
| <input type="checkbox"/> Guinea                 | <input type="checkbox"/> Nicaragua                        | <input type="checkbox"/> Turkey      |
| <input type="checkbox"/> Guinea-Bissau          | <input type="checkbox"/> Niger                            | <input type="checkbox"/> Ukraine     |
| <input type="checkbox"/> Haiti                  | <input type="checkbox"/> North Korea                      | <input type="checkbox"/> Venezuela   |
| <input type="checkbox"/> Yemen                  | <input type="checkbox"/> Central African Republic         | <input type="checkbox"/> Zimbabwe    |
| <input type="checkbox"/> Iran                   | <input type="checkbox"/> Democratic Republic of the Congo |                                      |
| <input type="checkbox"/> Iraq                   | <input type="checkbox"/> Russia                           |                                      |

### List of High-Risk Third Countries<sup>2</sup>

- |                                                           |                                      |                                               |
|-----------------------------------------------------------|--------------------------------------|-----------------------------------------------|
| <input type="checkbox"/> Afghanistan                      | <input type="checkbox"/> Kenya       | <input type="checkbox"/> Philippines          |
| <input type="checkbox"/> Algeria                          | <input type="checkbox"/> Lao PDR     | <input type="checkbox"/> Senegal              |
| <input type="checkbox"/> Angola                           | <input type="checkbox"/> Jamaica     | <input type="checkbox"/> South Africa         |
| <input type="checkbox"/> Barbados                         | <input type="checkbox"/> Lebanon     | <input type="checkbox"/> South Sudan          |
| <input type="checkbox"/> Bulgaria                         | <input type="checkbox"/> Mali        | <input type="checkbox"/> Syria                |
| <input type="checkbox"/> Burkina Faso                     | <input type="checkbox"/> Monaco      | <input type="checkbox"/> Tanzania             |
| <input type="checkbox"/> Cameroon                         | <input type="checkbox"/> Mozambique  | <input type="checkbox"/> Trinidad and Tobago  |
| <input type="checkbox"/> Côte d'Ivoire                    | <input type="checkbox"/> Myanmar     | <input type="checkbox"/> Uganda               |
| <input type="checkbox"/> Croatia                          | <input type="checkbox"/> Namibia     | <input type="checkbox"/> United Arab Emirates |
| <input type="checkbox"/> Democratic Republic of the Congo | <input type="checkbox"/> Nepal       | <input type="checkbox"/> Vanuatu              |
| <input type="checkbox"/> Gibraltar                        | <input type="checkbox"/> Nigeria     | <input type="checkbox"/> Venezuela            |
| <input type="checkbox"/> Haiti                            | <input type="checkbox"/> North Korea | <input type="checkbox"/> Vietnam              |
| <input type="checkbox"/> Iran                             | <input type="checkbox"/> Panama      | <input type="checkbox"/> Yemen                |

## List of Countries and Territories with a privileged tax regime (offshore<sup>3</sup>)

|                                                         |                                                                       |                                                                      |
|---------------------------------------------------------|-----------------------------------------------------------------------|----------------------------------------------------------------------|
| <input type="checkbox"/> Andorra                        | <input type="checkbox"/> Guam                                         | <input type="checkbox"/> Liechtenstein                               |
| <input type="checkbox"/> Anguilla                       | <input type="checkbox"/> Isle of Man                                  | <input type="checkbox"/> Macau                                       |
| <input type="checkbox"/> Antigua and Barbuda            | <input type="checkbox"/> Niue                                         | <input type="checkbox"/> Malaysia                                    |
| <input type="checkbox"/> Netherlands Antilles           | <input type="checkbox"/> Pitcairn Islands                             | <input type="checkbox"/> Mauritius                                   |
| <input type="checkbox"/> Aruba                          | <input type="checkbox"/> Kiribati                                     | <input type="checkbox"/> Myanmar (Burma)                             |
| <input type="checkbox"/> Bahamas                        | <input type="checkbox"/> Saint Pierre and Miquelon                    | <input type="checkbox"/> Monaco                                      |
| <input type="checkbox"/> Bahrain                        | <input type="checkbox"/> Tokelau                                      | <input type="checkbox"/> Montserrat                                  |
| <input type="checkbox"/> Barbados                       | <input type="checkbox"/> Norfolk Island                               | <input type="checkbox"/> Nauru                                       |
| <input type="checkbox"/> Belize                         | <input type="checkbox"/> Tuvalu                                       | <input type="checkbox"/> Nigeria                                     |
| <input type="checkbox"/> Bolivia                        | <input type="checkbox"/> Bermuda                                      | <input type="checkbox"/> Oman                                        |
| <input type="checkbox"/> Brunei                         | <input type="checkbox"/> Cayman Islands                               | <input type="checkbox"/> Panama                                      |
| <input type="checkbox"/> Cape Verde                     | <input type="checkbox"/> Cocos (Keeling) Islands                      | <input type="checkbox"/> Pakistan                                    |
| <input type="checkbox"/> Cyprus                         | <input type="checkbox"/> Cook Islands                                 | <input type="checkbox"/> French Polynesia                            |
| <input type="checkbox"/> Costa Rica                     | <input type="checkbox"/> Channel Islands <sup>4</sup>                 | <input type="checkbox"/> Puerto Rico                                 |
| <input type="checkbox"/> Dominica                       | <input type="checkbox"/> Falkland Islands (Malvinas)                  | <input type="checkbox"/> Qatar                                       |
| <input type="checkbox"/> Egypt                          | <input type="checkbox"/> Fiji                                         | <input type="checkbox"/> Western Sahara                              |
| <input type="checkbox"/> United Arab Emirates           | <input type="checkbox"/> South Georgia and the South Sandwich Islands | <input type="checkbox"/> American Samoa                              |
| <input type="checkbox"/> Federated States of Micronesia | <input type="checkbox"/> Maldives                                     | <input type="checkbox"/> Samoa                                       |
| <input type="checkbox"/> Eswatini (formerly Swaziland)  | <input type="checkbox"/> Northern Mariana Islands                     | <input type="checkbox"/> Saint Lucia                                 |
| <input type="checkbox"/> USA (State of Delaware)        | <input type="checkbox"/> Marshall Islands                             | <input type="checkbox"/> Saint Kitts and Nevis                       |
| <input type="checkbox"/> USA (State of Nevada)          | <input type="checkbox"/> Christmas Island                             | <input type="checkbox"/> San Marino                                  |
| <input type="checkbox"/> USA (State of Oklahoma)        | <input type="checkbox"/> Palau                                        | <input type="checkbox"/> Sao Tome and Principe                       |
| <input type="checkbox"/> USA (State of Wyoming)         | <input type="checkbox"/> Solomon Islands                              | <input type="checkbox"/> Saint Vincent and the Grenadines            |
| <input type="checkbox"/> USA (Minor Outlying Islands)   | <input type="checkbox"/> Svalbard and Jan Mayen                       | <input type="checkbox"/> Seychelles                                  |
| <input type="checkbox"/> USA (Virgin Islands)           | <input type="checkbox"/> Turks and Caicos Islands                     | <input type="checkbox"/> Singapore                                   |
| <input type="checkbox"/> Philippines                    | <input type="checkbox"/> British Virgin Islands                       | <input type="checkbox"/> Saint Helena, Ascension<br>Tristan da Cunha |
| <input type="checkbox"/> The Gambia                     | <input type="checkbox"/> Indonesia                                    | <input type="checkbox"/> Switzerland                                 |
| <input type="checkbox"/> Gibraltar                      | <input type="checkbox"/> Iran                                         | <input type="checkbox"/> Tonga                                       |
| <input type="checkbox"/> Grenada                        | <input type="checkbox"/> Jamaica                                      | <input type="checkbox"/> Trinidad and Tobago                         |
| <input type="checkbox"/> Guatemala                      | <input type="checkbox"/> Djibouti                                     | <input type="checkbox"/> Turkmenistan                                |
| <input type="checkbox"/> Guyana                         | <input type="checkbox"/> Jordan                                       | <input type="checkbox"/> Ukraine                                     |
| <input type="checkbox"/> Honduras                       | <input type="checkbox"/> Kuwait                                       | <input type="checkbox"/> Uruguay                                     |
| <input type="checkbox"/> Hong Kong                      | <input type="checkbox"/> Lebanon                                      | <input type="checkbox"/> Uzbekistan                                  |
| <input type="checkbox"/> Yemen                          | <input type="checkbox"/> Liberia                                      | <input type="checkbox"/> Vanuatu                                     |

<sup>1</sup> Restrictive measures approved by the European Union or the UN

<sup>2</sup> List considers jurisdictions thus qualified by the European Union and the FATF

<sup>3</sup> Jurisdictions that qualify as tax havens under Portuguese law

<sup>4</sup> Alderney Guernsey, Jersey, Great Stark, Little Stark, Herm, Brechou, Jethou and Lihou

Once you have identified the country(ies) and/or territories where you have income from and/or have business activity, please answer the questions in schedules 1, 2, and 3.

Schedule 1 - Income from high-risk jurisdiction

| Type of Income                                           | Identification of the paying entity(ies) |
|----------------------------------------------------------|------------------------------------------|
| <input type="checkbox"/> Work                            |                                          |
| <input type="checkbox"/> Movable assets [capital income] |                                          |
| <input type="checkbox"/> Real estate [property income]   |                                          |
| <input type="checkbox"/> Other                           |                                          |

Schedule 2 - Business Activity carried out in a high-risk jurisdiction

Schedule 3 - Relevance framework

Please tick the relative proportion of this/these income/activity(ies) in this/these country(ies)/territory(ies) by reference to your overall annual income:

☐ Less than 10%      ☐ between 10% and 50%      ☐ more than 50%

☐ I confirm that if in the future there is any change to framework presented here, and in compliance with the provisions of the **General Deposit Conditions**, I will inform Banco Finantia, S.A., of this.

Signature according to identification document

This Annex complements the procedure for identifying the participant- Client, Representative or Beneficial Owner

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